# Anodization as a promising surface treatment for drug delivery implants and a non-cytotoxic process for surface alteration: a pilot study

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# **ABSTRACT**

**Aim** Surface treatments use industrial processes in which surface contamination can occur. In this context, this study aimed to demonstrate a surface treatment process, from laboratory samples and clinical implants, named anodizing, analyze their tendencies to surface contamination as well as their properties.

**Materials and Methods** Laboratorial samples of pure titanium were anodized. Investigated by scanning microscopy (SEM), dispersive energy spectroscopy (EDS) and wettability tests. Four implant systems available in the current market were chosen by different surface treatments (anodizing, double acid etching and particle blasting) and investigated by SEM/ EDS.

**Results** Laboratory samples showed a nanomorphology surface, free of contaminants and good liquid/surface interaction. The implant system with anodization treatment did not present elements outside the standards. However, the implants treated with acid attack and blasting were found different chemical elements like aluminum and magnesium.

**Conclusions** Anodizing proved to be a contaminant-free surface treatment both in the laboratory and clinical implants. In addition, its promising property of owning TiO2 nanotubes suggests an inherent evolution to biomedical implants for drug delivery systems other than all surface treatments developed to date.

KEYWORDS: Dental implants; Surfaces; Anodization; Contamination; Drug delivery.

# **INTRODUCTION**

Surface treatments and drug delivery systems are currently widely discussed by researchers in the field of biomedical implantology (1-2). Surface treatment technologies evolve rapidly and always aim for better performance for intended clinical use (3). In biomedical implants made from Titanium (Ti), a surface treatment process involving biomedical engineering, pharmacology, and implantology is generally known as electrochemical anodization (4).

Contemporary anodization process promotes the surface treatment with nanotubes (TNTs), which allows the surface loaded with numerous nanoparticles (NPs) or alternatives of drugs (4–6). Furthermore, because it is a surface nanotechnology, studies have reported that this treatment method has the best ability in promoting cell interaction with bone cells during the process of adhesion and proliferation (7–8) after implantation, as well as a lower adhesion and proliferation reaction of bacteria that may cause infections in biomedical implants (9).

One of the concerned factors in surface treatments for biomedical implants is the contamination of non-biocompatible chemical elements during the manufacturing process (10). Chemical elements such as aluminum and aluminum oxide, nickel, copper, vanadium, among others have been reported (10-11) as contaminants and their potential cytotoxicities. These are usually found after superficial treatments with different methodologies or in implants made with impure titanium alloys (10,12). This surface cytotoxicity generated by non-biocompatible elements is of health concern and should be avoided for biomedical implants.

This study aims to screen samples made in the laboratory as in commercially available clinical implants that used the surface treatment by anodization using surface atomic reading of the samples and surface characterization. A current view of the promising studies involving anodized surfaces with TNTs and their various possibilities of functionalization is also discussed.

ASTM F67 Standarts						
ASTM F67 pure Titanium grade I						
Chemical Element	Nitrogen (max.)	Carbon (max.)	Hydrogen (max.)	Iron (max.)	Oxygen (max.)	Titanium
Maximum allowed percentage (%)	0.03	0.08	0.015	0.2	0.18	Balance
ASTM F67 pure Titanium grade II						
Chemical Element	Nitrogen (max.)	Carbon (max.)	Hydrogen (max.)	Iron (max.)	Oxygen (max.)	Titanium
Maximum allowed percentage (%)	0.03	0.08	0.015	0.3	0.25	Balance
ASTM F67 pure Titanium grade III						
Chemical Element	Nitrogen (max.)	Carbon (max.)	Hydrogen (max.)	Iron (max.)	Oxygen (max.)	Titanium
Maximum allowed percentage (%)	0.05	0.08	0.015	0.3	0.35	Balance
ASTM F67 pure Titanium grade IV						
Chemical Element	Nitrogen (max.)	Carbon (max.)	Hydrogen (max.)	Iron (max.)	Oxygen (max.)	Titanium
Maximum allowed percentage (%)	0.03	0.08	0.015	0.5	0.4	Balance

TABLE 1 ASTM Standard F67 with chemical elements and maximum quantity.

## **MATERIALS AND METHODS**

# Preparation of laboratory samples and anodization process

For the samples preparation by the anodizing process, a pure grade II titanium plate (Baumer, São Paulo, Brazil ) was cut into 10 discs (6 mm diameter and 1mm thick), washed with acetone, deionized water (DI) and dried in a vacuum chamber (Quimis<sup>®</sup>, São Paulo, Brazil) at a pressure of 0.1mPa for 2 hours. For electrochemical anodization of samples, the samples were submerge in a solution of composed of ethylene glycol , 0.5% NH4F (ammonium fluoride), 10% DI in an ultrasonic bath with a controlled voltage (40V) and a temperature of 15 ° C. The titanium samples were used as anode and a platinum plate as a cathode. The anodized disc is then washed with a 70% alcohol wash and DI then dried.

## **Commercial implants with different surface treatments**

Several commercially available implants were chosen to investigate the surface treatment under a real clinical condition. These included sterilized implants of Nobel Biocare, Replace, Switzerland – 5 mm x 11.5 mm – lot 491738 (anodizing) (13), Bionnovation, Biodirect, Brazil – 4.0 mm x10 mm – lot 051645 (acid etching), Implacil de Bortoli, UNII Cônico HI, Brazil – 3.5 mm x 7mm – lot 6034566 (aluminum blasting) and Systhex, Classic-ci, Brazil – 4.0mmx8.5mm – lot 140277 (aluminum blasting) registered as Pure Titanium by International Standards Worldwide Organization (ASMT F67).

# Surface characterization of laboratory samples

For characterization of the surface after anodization, a

scanning electron microscope (SEM, Inspect F50, Tokyo, Japan) was used to verify surface morphology, Dispersive Energy Spectroscopy (EDAX, New Jersey, USA) was used to distinguish the chemical elemental composition of the surface, and a Goniometer – Contact Angle Measure (Phoenix 300, SEO, Kosekdong, Korea) was used to verify the level of wettability of the surface soon after the anodization.

### **Characterization of commercial implants**

To investigate the surface of commercial implants an electron microscope (SEM, Fei Quanta 250, MA, USA) coupled with an EDS system (EDAX, New Jersey, USA) with 15KV was used for the surface treatment analysis. The implants were removed from the sterilized packaging with titanium tweezers on a double sided adhesive tape and immediately submitted for analysis under the microscope.

For the detection of elements in varied gray scale, EDS was applied. In accordance with the ASTM Standard F67, only the nitrogen, carbon, hydrogen, iron, oxygen and titanium were considered as shown in Table 1. All implants were analyzed throughout their structure including the head, body, internal, external and apex threads.

# **RESULTS AND DISCUSSION**

#### Laboratory samples

The laboratory sample is showing a surface nanomorphology composed of TNTs (Fig. 1, panel 1). Its atomic surface analysis demonstrated the maintenance of titanium purity after the anodizing process showing no



FIG. 1 Surface properties of anodized laboratory samples. Nanomorphology (1), surface purity (2) and wettability (3).



# FIG. 2

EDS analysis in commercial implants. Anodizing treatment showing spectrum without unexpected contaminants (A). Acid etching and particulate blasting treatments showing unexpected aluminum (B, C, D) and magnesium (C) residual peaks (red arrows) on implants of pure titanium.

Drug delivery	Application	References
Antibiotics	Significant reduction of bacterial adhesion.	[14-15]
Metals Nanoparticles	Reduction of bacterial adhesion and proliferation.	[16-17]
Anti- inflammatories	Anti-inflammatory effect and cell proliferation.	[18-19]
Natural Drugs	Osteogenic improvement in vitro and in vivo.	[20]
Specific Proteins	Promotes cells expression and proliferation.	[21-22]
Antimicrobial Peptides	Antimicrobial effect.	[23]

TABLE 2 Variety of functionalization in anodised surfaces.

apparent surface contamination as shown by EDS (Fig 1, panel 2). In addition, the wettability test revealed a good condition of the surface and liquid interaction, with no hydrophobic characteristics (Fig 1, panel 3). Expected characteristics for better cell / surface interaction.

# **Commercial implants**

The microscopic analysis of commercial implants with anodizing treatment showed a morphology with micro and nano pores as can be visualized in Figure 2-A and a uncontaminated surface of elements, confirming titanium purity and treatment without contamination (Fig 2-A). However, implants with other surface treatments show elements such as aluminum (AI) and magnesium (Mg) (Fig 2- B, C, D), that exceed the maximum concentrations as stipulated by the ASTM. Suggesting some contamination or traces of elements during the process of surface treatment or preparation of the implant.

## Perspectives for drug delivery in anodized biomedical implants

As presented in this study the electrochemical anodization process can produce surfaces that can be used in biomedical implants without surface contaminations and with functionalization possibilities (nanotubes). The parameters defined in the anodization process will define the shape and length of the tubes as (4,6): solution used, treatment time, temperature, voltage, among others. Thereby, the incorporation of drugs, nanoparticles (NPs), and proteins is totally viable and very promising.

To demonstrate the promising technology and its current status, Table 2 shows some works with specific surfaces incorporations made by anodization for the use of a drug delivery system in biomedical applications.

Surface nanomorphologies are explored by researchers with the objective to improve cellular interaction with the implanted biomaterial (7-8,22).The anodization process explored in this current study presented this morphology both in the laboratory titanium disc samples and commercial implants treated by this methodology. Another characteristic of great interest for biomedical implants is the changes in terms of wettability as anodization can alter both hydrophilic and hydrophobic surfaces (4, 24).

Contaminations by surface treatments are demonstrated in several studies (10, 25), some of the elements found are considered cytotoxic (11) and a more critical evaluation in its use in biomedical implantology is needed. The proposed surface treatment did not reveal any surface contamination suggesting anodization is a suitable surface treatment method for biomedical implants.

Particle cytotoxicity has been reported by a large number of researchers, from the use of nanoparticles and by the corrosion of biomaterials (26-27). Biomaterials contaminated with elements such as aluminum, chromium, nickel, among others are still widely used in the current biomedical implants. Its gradual release due corrosion or contamination directly into the bone tissue is a concern. The human body has difficulty removing these elements from the circulation and they could bioaccumulate inside the body (28). The anodizing process together with a pure titanium alloy yields a biomaterial surface that is totally clean and free of cytotoxic elements.

Surface treatment involves processes using liquids or solids such as acid leaching or particle blasting (1,12,25, 29). Treatment processes involving liquids react more critically on the material making it difficult to contaminate or impregnate other chemical elements. While processes using solid particles normally using materials other than titanium, may increase the risk of surface contamination by residues of the sandblasting particles or introducing additional impurities existed in blasting material (12,25). The anodization performed in this study proved to be a desirable process that produces a product free of residues or surface contaminations.

In addition, anodization allows the functionalization for the delivery of drugs or nanoparticles inside the nanotubes (TNTs). Zhang et al. and Hua et al. (24,30) reported the incorporation of silver or copper nanoparticles proving great antibacterial potential and biocompatibility, respectively. However, these particles in a high degree of release could generate bioaccumulation in the human body because they are not easily excluded (31). On the other hand, other studies (20-22) show the functionalization for the delivery of drugs (e.g. proteins, growth factors, and peptides) that are easily degraded by the recipient demonstrating high potential of antibacterial properties and increased speed of bone healing.

The limitations of this study do not allow to extrapolate conclusions with regard to the resultant surface is cytotoxic or not. Nevertheless, it allows a physicochemical demonstration of the present or absence of elemental contaminations on the surface of various implants. The results also indicate that anodization is a promising process that can yield surface contaminant free biomedical implants. Future research should consider cell culture toxicity studies and in-depth bioaccumulation analyzes to prove the actual efficacy of a given surface treatment.

# CONCLUSIONS

The process by electrochemical anodization allows the formation of a surface with nanomorphology and potential application for functionalization. Its surface does not appear to have any elemental contamination when pure titanium is used following the practice in implant manufacturing processes. The apparent lack of elemental contamination of the anodized surface would suggest non-cytoxicity of this type of implants although further investigation is needed. It is highly promising for its use in biomedical applications, and affords opportunity for developing implants including oral implants with drug delivery systems.

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## Authorship

Marcel Ferreira Kunrath: Conception, acquisition, interpretation, draft, revision and agreement to publish the work. Nilton Penha: Acquisition, interpretation, revision and agreement to publish the work. Jack C. Ng: Interpretation, draft, revision and agreement to publish the work.

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